2014 Action Plan with progress notes

Concordat Principle & Framework	Aims & actions for the implementation of this principle	Indicators of Success (Deadlines in brackets and bold)	Accountability	Progress as of summer 2016
Principle 1 Recognition of the importance of recruiting selecting and retaining researchers with the highest potential to achieve excellence in research.	1.1 Evaluation of induction process for Research Staff at all levels.	1.1a A report on the evaluation of induction for Research Staff (December 2015). A series of practical improvements to induction at all levels with evidence of their implementation (July 2016). Improved feedback on induction via internal surveys and CROS (July 2017).	Research Staff Development Manager (RSDM) Research Staff Working Group (RSWG)	CROS results from the 2015 survey have been reviewed for feedback in relation to induction. Focus groups to understand in more depth the issues raised in the surveys re induction have been facilitated Improvements identified have been fed into both the People Strategy and work plans for delivery over the 16/17 academic year This is part of a wider review of all induction practices at the university (see new action plan for more detail) Data from CROS shows an increase in satisfaction with departmental and local induction by 6% and 7% respectively Satisfaction with institutional induction decreased by 1%. However, the percentage who found institutional induction to not be useful reduced by 2% and those offered induction but didn't take it up reduced by 50%. Induction for Research Staff was attended by 50 delegates since 2014 (24% of those who started between 2014 and 2016)

Principle 2

Researchers are recognised and valued by their employing organisation as an essential part of their organisation's human resources and a key component of their overall strategy to develop and deliver world-class research

- 2.1 Improvement in the performance management of Research Staff.
- 2.1.2 Supporting and enabling the implementation of School PI development strategies.
 2.1.3 Further School based training on leadership and management skills for PIs.
 2.2 Increased awareness by RS of opportunity of promotion.
 2.2.1 Deliver further training sessions on promotion for RS at School level.
- 2.2.2 Publicise promotion criteria through emails, social media and web pages.

2.1a Key indicators of performance management of RS (induction, objective setting etc) have improved or maintained high rating in CROS 2015 over 2013. (July 2015) 2.1.2a Numbers of academics in Schools actively engaged with development strategies. Evidence of the effectiveness of strategies in achieving objectives (August 2016). 2.1.3a Data on number of sessions, participants and evidence of effectiveness from CROS and PIRLS. (August 2016) 2.2a Data on awareness of opportunity for promotion in CROS 2015 goes up.(July 2015) 2.21a Data on number of sessions, participants and evidence of effectiveness from CROS and PIRLS (August 2016). 2.2.2 Dates of postings, evidence of effectiveness through CROS results (August 2016).

RSDM, RSWG, Heads of Schools (HoS), Deans of Faculties (DoF) 2.1a CROS and PIRLS results from the 2015 survey have been reviewed for feedback in relation to performance management.

Focus groups to understand in more depth the issues raised in the surveys re performance management have been facilitated

- 2.1.2 It was agreed with the PVC for Research and the Research Deans that strategy development was better placed with Research Themes rather than schools. RDL's have been involved in training on developing strategy
- 2.2 and 2.2.1 The 'Moving to a lectureship' course was delivered once in 2014/15 and once in 2015/16. This was attended by 22 ECR over 2 sessions (one per year) (6% of all ECR's)

School of Maths and Physical Sciences (SMPS) (which has the largest number of researchers) hosts a biennial "Grade 6 promotion workshop"

General promotions seminar in SMPS in 2014/15

School of Chemistry, Food & Pharmacy are running the first grade 6 promotion workshop in September 2016

2.1.3a, 2.2a, 2.2.1a, 2.2.2 CROS data shows that satisfaction with appraisal has improved across all measures ranging from

				a 15% to a 31% improvement. Overall satisfaction increased by 27%.
				CROS data shows that being treated fairly in relation to opportunities for promotion and progression in relation to other staff have increased by 10% (now 55), in relation to protected characteristic this has increased by 5% (to 76%)
Principle 3			RSDM, RSWG, CPEC Director	3.1 has agreement in principle but needs to
Researchers are equipped and		engagement between RS and		be scoped further
diverse, mobile, global research environment.	provided by the University Careers, Placement and Experience Centre (CPEC). 3.2 In collaboration with CPEC conduct research and write a follow up report to Profiling the Careers of Research Staff at the	CPEC. 3.2a Research project designed (December 2014) and conducted (July 2016), report written and results used to inform Research Staff at Reading, Vitae and other stakeholders of the perspectives of ex-Research Staff (December 2016).		The scope of 3.2 has changed as a result of a conversation at the Universities Research Committee This now means that the project brief needs to be rewritten and incorporated into our new action plan The PVC for Research has requested senior members of academic staff to provide case studies of current and previous researchers in relation to their career progression and direction. These case studies will form part of the wider 'faces at reading' work and will also link in with the Athena Swan action
				plan in relation to gender diversity and career progression. We now have over 40 case studies to promote and learn from
•			RSDM, RSWG, HoS, DoF	4.1 PIRLS data shows that:
The importance of researchers' personal and	training/briefing in mentoring on a School by School basis.	received training. On-going central		 While the numbers days training that PI's undertake has increased,
	1	training provision to support new		the % doing training is decreasing
lifelong learning, is clearly		Principal Investigators (July 2015).		- The % of PI's who find appraisal useful for leading to training and

recognised and promoted at		4.2 Data to show number of		other development has increased by
all stages of their career.		Research Staff trained in the use		12%
an stages of their career.		of RDF planner and evaluation		- The % of PI's who feel that they
		report (December 2015).		have fair access to training
		1 cport (Beechinger 2013).		regardless of protected
				characteristic has decreased by 3%
				- There are mixed results in relation
				to confidence in relation to leading
				researchers and the development
				needed
				- Confidence in leading people has
				increased by 3%, confidence in
				motivating individuals has increased
				by 1% and confidence in personal
				effectiveness has increased by 4%
				- In all other areas confidence has
				dropped by between 2% and 7%
				(7% being related to managing
				performance and recruitment and
				selection
				Selection
				Strategic planning sessions held for RDL's –
				30 attended
				360 and MBTI for Deans
Principle 5		5.1a-5.4a Data and feedback from	RSDM, RSWG	5.1 to 5.4 Feedback on the courses is:
Individual researchers share	for Research Staff to address the	training sessions (August 2015		
the responsibility for and		and 2016)		Face your Fears (22 ECR delegates) (6% of all
need to pro-actively engage in				ECR's)
their own personal and career				- 100% rated the course as either
development and lifelong	Research Staff to encourage an			excellent or very good
learning.	active and dynamic approach to			- Feedback was that it was time well
	career management within HE.			spent, thought provoking, brilliant
	5.3 Re-introduction of three day			and very interesting
	Leadership and Management			
	Development course for			Intrapreneurship (22 ECR delegates) (6% of
	Research Staff			all ECR's)

	5.4 Introduction of Research			- 100% rated the course as either
	Impact course to enable			excellent or very good
	Research Staff to consider this			- Feedback was that it was time well
	issue from early on in their			spent, thought provoking, brilliant
	career.			and very interesting
				, , , , , , , , , , , , , , , , , , , ,
				Research Impact (47 ECR delegates) (14% of
				all ECRs)
				- 100% rated the course as either
				excellent or very good
				- Feedback was that it was time well
				spent, thought provoking, brilliant
				and very interesting
				The University Research Committee decided
				that we should not introduce the 3 day
				leadership programme. This is because
				plans are now emerging for institution wide
				leadership and development programmes
				which will be open to research staff
Principle 6	6.1 Promoting the Springboard	6.1a Data on the number of	RSDM, RSWG	6.1 5 ECR's have participated in Springboard
-		female RS attending (August	·	since 2014, this is 3.5% of all delegates and
promoted in all aspects of the		2016).		1.4% of all ECR's
recruitment and career	6.2 Including talks by a senior	6.2 Enactment of policy and data		
management of researchers.	female researcher on promotion	on number of sessions held		6.2 Grade 6 promotion workshop delivered
		(August 2016).		bi-annually in SMPS in 14/15
	the School briefings on			SCFP are running a Grade 6 promotion
	promotion for Research Staff.			workshop on September 16
Principle 7	7.1 Continue to engage with the	•	RSDM, RSWG	7.1 a ST booked on Vitae course in July
The sector and all	•	seminars at Vitae's Researcher		
		Development Conference, Policy		7.1a ST and LM booked on Vitae conference
regular and collective review		Forum and one-off events (August		in September
of their progress in	,	2016).		
	_	7.2 Implementation of policy		7.2 CROS and PIRLS data being considered
	of evaluation.	(August 2016).		in a review of research staff development
sustainability of research				and also drawing other links such as Athena
careers in the UK.				Swan